

CROSS-REFERENCE TO OTHER APPLICATIONS

"NO PREPROCESSOR AND A SOURCE LEVEL
DEBUGGER FOR EMBEDDED SQL IN A 3GL," appli-
cation Ser. No. 08/521,711, filed Aug. 31, 1995, incorpo-
rated herein by reference in its entirety. 30

The present invention relates generally to stored procedures and user-defined functions in database systems, and more particularly to a system and method for registering and building stored procedures and user-defined functions in database systems.

Stored procedures and user-defined functions are conventionally employed to augment the functional capabilities of database systems. Typically, stored procedures and user-defined functions are developed by programmers at database server platforms (i.e., computers). After they have been fully developed, they are stored in the database server platforms. The stored procedures and user-defined functions may then be invoked by database clients.

One solution to this problem is to have the programmers develop the stored procedures and user-defined functions at database client platforms. This potentially decreases the workload at database server platforms, thereby improving system performance. According to this approach, however, programmers must manually distribute, build, and register the completed stored procedures and user-defined functions

at the database server platforms. This can be a very difficult task, especially if there are many database server platforms (in some systems, there are hundreds or even thousands of database server platforms).

Also, distributing, building, and registering stored procedures and user-defined functions are very low-level tasks. To perform such tasks, the programmers must know the physical addresses of the database server platforms (typically, programmers only know the aliases of the database server platforms, where such aliases are abstract, user-friendly representations of the physical addresses), and the process for invoking the build and registration utilities (this may vary from platform to platform). Accordingly, this conventional solution is not ideal.

DISCLOSURE OF INVENTION

Briefly stated, the present invention is directed to a system and method for transferring a file from a client platform to a server platform. The server platform is coupled to the client platform. A "DB2" relational database management system (RDBMS) executes on the server platform.

According to the present invention, the file to be transferred is converted to a string at the client platform. A `procedure_to_invoke` parameter is set equal to information identifying a file transfer procedure located at the server platform. A pointer in a first "sqlvar" parameter is caused to point to the string, and a pointer in a second sqlvar parameter is caused to point to a file name of the file. The first and second sqlvar parameters are part of an `input_args` parameter, where the `input_args` parameter is of a "sqlda" data type. The sqlda data type is suitable for passing scalar values to procedures.

A "sqleproc" function is invoked at the client platform. The sqleproc function is provided by a "client application enablement" (CAE) module that is resident in the client platform and that represents a client component of the DB2 RDBMS. A parameter list of the sqleproc function includes the `input_args` parameter and the `procedure_to_invoke` parameter. The sqleproc function when executed causes the `input_args` parameter to be passed to the file transfer procedure at the server platform, and also causes the file transfer procedure to be invoked at the server platform.

The file transfer procedure at the server platform receives the `input_args` parameter. The file transfer procedure uses the pointer in the first sqlvar parameter of the `input_args` parameter to access and retrieve the string. The string is converted to a new file. The file transfer procedure uses the pointer in the second sqlvar parameter of the `input_args` parameter to access and retrieve the file name. The file transfer procedure stores the new file in the server platform using the file name.

Further features and advantages of the invention, as well as the structure and operation of various embodiments of the invention, are described in detail below with reference to the accompanying drawings. In the drawings, like reference numbers generally indicate identical, functionally similar, and/or structurally similar elements. The drawing in which an element first appears is indicated by the digit(s) to the left of the two rightmost digits in the corresponding reference number.

BRIEF DESCRIPTION OF FIGURES

The present invention will be described with reference to the accompanying drawings, wherein:

FIG. 1 is a block diagram of a database system according to a preferred embodiment of the invention;

FIG. 2 is a block diagram of a preferred computer useful for implementing components of the database system of FIG. 1;

FIGS. 3-5 and 7 are flowcharts depicting the preferred operation of the invention; and

FIG. 6 is used to describe sqlvars in an sqlda data structure type.

BEST MODE FOR CARRYING OUT THE INVENTION

The present invention is directed to a system and method for enabling users at client platforms (a "client platform" is a computer that has been set up to access database servers as a client) to distribute their stored procedures and/or user-defined functions from the client platforms to server platforms (also called servers). The present invention also enables the users at the client platforms to make and register their stored procedures and/or user-defined functions at the servers. The invention provides this functionality in an user-friendly manner. In particular, the invention does not require that users have knowledge of low-level details (such as the physical paths to server platforms) in order to distribute, make, and register their stored procedures and/or user-defined functions.

FIG. 1 is a block diagram of a database system 102 according to a preferred embodiment of the present invention. The database system 102 includes a plurality of client platforms, such as client platform 104, and a plurality of server platforms (also called servers), such as servers 118, 120, 122, connected to each other via a network 116.

The servers 118, 120, 122 each represent a relational database management system (RDBMS). Preferably, the RDBMS at each server 118, 120, 122 is DB2 available from International Business Machines (IBM Corporation). Specifically, the RDBMS is DB2 for Workstations (such as DB2 for AIX/6000, DB2 for OS/2, etc.). More particularly, the RDBMS is DB2 for Workstations Version 2.1 or greater. Pertinent aspects of DB2 are described in many publicly available documents, such as DATABASE 2 OS/2 Programming

Reference, Order Number S62G-3666-00, March 1993, DATABASE 2 AIX/6000 Programming Reference, Order Number SC09-157300, 1993, and IBM Operating System/2 Extended Edition Database Manager Programming Guide and Reference, 90X7905, 1993, which are incorporated herein by reference in their entireties.

Each server 118, 120, 122 includes a software library, such as a dynamic link library (DLL) 124, containing software procedures that may be invoked by clients 106. For purposes of the present invention, the DLL 124 includes a file transfer procedure 126, a make procedure 128, and a registration procedure 130, although in practice the DLL 124 may contain other software procedures. The file transfer procedure 126, the make procedure 128, and the registration procedure 130 are described below.

The client platform 104 includes one or more clients, such as client 106. The client 106 accesses the data and procedures at the servers 118, 120, 122. According to the present invention, the client 106 may also develop stored procedures and/or user-defined functions at the client platform 104, and distribute, make, and register such stored procedures and/or user-defined functions to one or more of the servers 118, 120, 122. This is described in greater detail below.

The client platform 104 also includes a client application enablement (CAE) module 114. The CAE 114 is a compo-

Conventionally, file transfer between the client platform 104 and the server platforms 118, 120, 122 is achieved by using a mechanism that does not involve the CAE 114, such as the well known FTP (file transfer protocol). To use FTP, 60 users must have knowledge of low-level details, such as the physical addresses of servers, and whether to transfer as ASCII or binary. They must also separately log on to the server, and navigate to an appropriate location in the directory structure on the server. Navigation requires knowledge 65 of appropriate operating-system-specific directory commands. FTP may require additional installation for OS/2 and Windows users. Accordingly, the use of FTP is not user-

friendly. In contrast, the invention extends the functional capability set of the CAE 114 to enable the CAE 114 to transfer files, and then uses the CAE 114 to transfer files between the client platform 104 and the servers 118, 120, 122. Since it uses the CAE 114 to perform this function, the transfer of files is performed in an user-friendly manner. 5

FIG. 2 is a block diagram of a computer 202 used to implement elements of the invention. The client platform 104 and the server platforms 118, 120, 122 may be implemented using computers such as the computer 202 in FIG. 10 2.

The computer 202 includes one or more processors, such as processor 204. The processor 204 is connected to a communication bus 206.

The computer 202 also includes a main memory 208, preferably random access memory (RAM), and a secondary memory 210. The secondary memory 210 includes, for example, one or more hard disk drives 212 and/or one or more removable storage drives 214, each representing a floppy disk drive, a magnetic tape drive, a compact disk drive, etc. These devices may be connected directly to the bus 206 or may be connected over a network (not shown). The removable storage drives 214 each reads from and/or writes to a removable storage unit 216 in a well known manner. 15 20 25

Removable storage unit 216, also called a program storage device or a computer program product, represents a floppy disk, magnetic tape, compact disk, etc. As will be appreciated, the removable storage unit 216 includes a computer usable storage medium having stored therein computer software and/or data. 30

Computer programs (also called computer control logic) are stored in main memory 208 and/or the secondary memory 210. Such computer programs, when executed, enable the computer 202 to perform the features of the present invention as discussed herein. In particular, the computer programs, when executed, enable the processor 204 to perform the features of the present invention. Accordingly, such computer programs represent controllers of the computer 202. The client 106, the CAE 104, and the DB2 RDBMS at the servers 118, 120, 122 represent computer programs executing in their respective computers 202. 35 40 45

In another embodiment, the invention is directed to a computer program product comprising a computer readable medium having control logic (computer software) stored therein. The control logic, when executed by the processor 204, causes the processor 204 to perform the functions of the invention as described herein. 50

In another embodiment, the invention is implemented primarily in hardware using, for example, one or more hardware state machines. Implementation of such hardware state machines so as to perform the functions described herein will be apparent to persons skilled in the relevant art(s). 55

SQLPROC Function

The CAE 114 supports a function called sqlproc, where "sql" stands for the well known structured query language, and "proc" stands for procedure. As will be appreciated by persons skilled in the relevant art(s), the structured query language is the well known database language of the DB2 RDBMS. 60

The sqlproc function is documented in a number of publicly available documents, such as DATABASE 2 OS/2 Programming Reference, Order Number S62G-3666-00, 65

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March 1993, DATABASE 2 AIX/6000 Programming Reference, Order Number SC09-157300, 1993, and IBM Operating System/2 Extended Edition Database Manager Programming Guide and Reference, 90X7905, 1993, which are incorporated herein by reference in their entireties. The documented purpose of the `sqlproc` function, as stated in all such documents known to the Inventors, is to enable a client to remotely invoke a procedure located at a server. For example, the client 106 could utilize the `sqlproc` function to invoke at the server 122 the file transfer procedure 126, the make procedure 128, and/or the registration procedure 130. Once invoked, these procedures 126, 128, 130 would execute at the server 122.

The syntax of the `sqlproc` function is as follows:

```

15  _____
      return_code (procedure_to_invoke,
                  =
                  sqlproc
                    info_field,
                    input_args,
20  output_args,
                    communication_area)
      _____

```

As evident from the above, the parameter list of the `sqlproc` function includes: `procedure_to_invoke`, `info_`
25 `field`, `input_args`, `output_args`, and `communication_area`.

The `procedure_to_invoke` parameter is a string that specifies the procedure that the client wants to invoke.

For AIX/6000 servers, this string must include the path to the procedure relative to the relevant server; however, OS/2 servers can find the procedure if its path is included in the LIBPATH. For example, suppose that the client 106 wanted to invoke the make procedure 128 at server 122. In this case, the `procedure_to_invoke` parameter for AIX/6000 would be of the form:

35 "path\DLL!<entry point into DLL>"

For OS/2:

"DLL!<entry point into DLL>"

where "path" specifies the path to the DLL 124 relative to the server 122, "DLL" refers to the DLL 124, and "<entry point into DLL>" represents information that identifies the entry point into the DLL 124 corresponding to the make procedure 128.

The `info_field` in the `sqlproc` function has the database varying character datatype, and is typically unused.

45 The `input_args` in the `sqlproc` function are input, scalar arguments that are passed to the procedure that is being called (i.e., the procedure identified by the `procedure_to_invoke` parameter). The `input_args` are discussed further below.

50 The `output_args` in the `sqlproc` function are output, scalar arguments that are returned from the procedure that is being called (i.e., the procedure identified by the `procedure_to_invoke` parameter). The `output_args` are discussed further below.

55 The `communication_area` in the `sqlproc` function is an `sqlca` ("sqlca" stands for SQL communication area) structure that contains status codes that are returned by the `sqlproc` function. These status codes specify the status of the call to the procedure identified by the `procedure_to_invoke` parameter (i.e., whether the procedure successfully executed, did not execute, the reasons for failure, etc.). Additional status information is returned by the `sqlproc` function (`return_code`).

65 The `sqlda` Data Structure

The CAE 114 supports an `sqlda` data structure ("sql" stands for structured query language, and "da" stands for

descriptor area). The input_args parameter and the output_args parameter in the sqleproc function are variables of type sqlda.

The sqlda data structure is documented in a number of publicly available documents, such as DATABASE 2 OS/2 Programming Reference, Order Number S62G-3666-00, March 1993, DATABASE 2 AIX/000 Programming Reference, Order Number SC09-1573-00, 1993, and IBM Operating System/2 Extended Edition Database Manager Programming Guide and Reference, 90X7905, 1993, which are incorporated herein by reference in their entireties. The documented purpose of the sqlda data structure, as stated in all such documents known to the Inventors, is to pass scalar values between a host language and DB2, including values that describe the columns of a resultset row for a query specified for an SQL PREPARE statement (the sqlda contains a description of the output columns from DB2), or for an SQL SELECT statement (the sqlda contains a description of the host variables to receive the values of a row, input to DB2), and values passed to or received from procedures that are called using the sqleproc function. The sqlda data structure is not intended, and not capable of, passing vector values.

The term "scalar value" is well known, and refers to a value having a single value and/or dimension. Integers and strings are examples of scalar values. Likewise, the term "vector value" is well known, and refers to an array of values or structured data values. Arrays and tables are examples of vector values. A file can be read into memory as a vector value (a file containing an array of strings or an array of structures, for example), or as a scalar value (a file containing a single string, for example). For reference purposes, files read into memory as vector values are called vector files, and files read into memory as scalar values are called scalar files. Typically, files associated with procedures and user-defined functions represent vector files.

The syntax of the sqlda data structure is as follows:

```

type sqlda
    sqldaaid /* An identifier */
    sqldabc /* SQLDA size in bytes */
    sqln /* Number of sqlvar parameters */
    sqld /* Number of sqlvar parameters used */
    sqlvar1
    sqlvar2
    *
    *
    *
    sqlvarN
end

```

The sqldabc parameter specifies the size of a variable of type sqlda. For example, for an sqlda with 10 sqlvars, the sqldabc will preferably be 16+(44*10) bytes.

The sqlda data structure allows a variable number of sqlvar parameters. The total number of sqlvar parameters for any given parameter of type sqlda is specified in the sqln field. The actual number of sqlvar parameters used is specified in the sqld field.

Scalar values that are to be passed to the procedure identified by the procedure_to_invoke parameter are associated with the sqlvar parameters on a one-to-one basis. Each sqlvar parameter includes a pointer. The scalar values that are to be passed to the procedure are stored in areas of memory. The pointers in the sqlvar parameters are then set so they point to these areas in memory. For example, this is shown in FIG. 6, where the input_arg parameter

includes an sqlvar1 parameter having a pointer 606 that points to a first scalar value in a memory 604 (corresponding to the main memory 208 and/or the secondary memory 210 in the client 106), an sqlvar2 parameter having a pointer 608 that points to a second scalar value, and an sqlvar3 parameter having a pointer 610 that points to a third scalar value. Distributing, Making, and Registering Stored Procedures and User-defined Functions

The operation of the invention when distributing, making, and registering stored procedures and user-defined functions shall now be described. It is noted that "stored procedure" in the context of the present invention is a well known term and refers to a procedure that runs at the location of the database server and that is called by a database client application. Likewise, "user-defined function" in the context of the present invention is a well known term and refers to a function that runs at the location of the database server and that is called by the DBMS, and that is specified in an SQL statement. Stored procedures and user-defined functions are collectively called "procedures" herein.

Flowchart 302 in FIG. 3 depicts the operation of the invention when distributing, making, and registering stored procedures and user-defined functions. Flowchart 302 begins with step 304, where control immediately passes to step 306.

In step 306, an user at the client platform 104 creates a procedure 112 to perform a desired function in a well known manner.

When the user is ready to distribute the procedure 112 to one or more of the servers 118, 120, 122, the user performs step 308. In step 308, the user invokes a GUI (graphical user interface) 108. The GUI 108 is part of the client 106. The GUI 108 displays a preferably scrollable list 110 of all databases available in the database system 102. This information is obtainable from DB2 in a well known manner. The list 110 includes an alias of each database.

As will be appreciated by persons skilled in the relevant art(s), each alias specifies a database and a server 118, 120, or 122 on which the database resides.

In step 310, the user selects one or more database aliases from the list 110. The stored procedure will be distributed to the servers 118, 120, and/or 122 on which the databases associated with the selected database aliases respectively reside (as described below). For reference purposes, these servers 118, 120, and/or 122 are called target (or destination) servers.

The user in step 310 also specifies a target path for each target server. The target path specifies the area in storage of the target server where the linked procedure will be stored (after the make process has been performed). Flowchart 402 in FIG. 4 depicts the manner in which the invention determines this target path for each selected database. If the user in step 310 explicitly entered a target path, then this target path is used (steps 406 and 408). If the user in step 310 did not enter an explicit target path, then the GUI 108 in step 410 determines whether a target path for the target server was previously specified in an initialization file stored at the client platform 104 (the initialization file may have previously been created by a system administrator, for example). If such a target path exists in the initialization file, then this target path is used (step 412). Otherwise, the GUI 108 uses a default path as the target path (step 414). The GUI 108 customizes this default path for the particular target server by obtaining the DB2 installation path from DB2 via the CAE 114 in a well known manner, and adding the path to the server (that is preferably in the DB2 installation path).

In step 312, the GUI 108 commands the CAE 114 to transfer the file(s) associated with the procedure 112 to

In step 508, the GUI 108 sets the `input_args` parameter in the `sqlproc` function to identify and include the contents of

the file(s) associated with the procedure 112, the names of these files, and the target path in the target server for the linked procedure (this target path for the target server being processed was determined in step 310 of flowchart 302). In particular, the GUI 108 sets the pointers in the sqlvar parameters to point to areas in memory that contain the contents of the files associated with the procedure 112, an area of memory (or multiple areas of memory) that store the names of these files, and an area of memory that stores information that identifies the target path for the linked procedure (linking is performed during the make process.).

This is represented in FIG. 6, for example, where the first scalar value represents the file corresponding to the procedure 112 (in this example, the procedure 112 only has one file). the second scalar value represents a string that is equal to the name of the file, and the third scalar value represents a string that is equal to the target path for the linked procedure.

It is noted that the file corresponding to the procedure 112 is preferably a vector value, not a scalar value. The sqlda data structure cannot accommodate vector values. Thus, the sqlda data structure cannot be used to pass this file to the procedure identified by the procedure_to_invoke parameter. Consequently, according to the present invention, the GUI 108 converts the file to a string (strings are scalar values; accordingly, strings may be passed using the sqlda data structure). The first scalar value in the example of FIG. 6 represents this string representation of the file associated with the procedure 112.

The GUI 108 converts each file associated with the procedure 112 to a string using any well known process, such as reading the file into memory one character or one line at a time, concatenating each line to a string, and concatenating specific strings to delimit lines and files. This continues until all files have been read into strings.

In step 510, the GUI 108 invokes the sqleproc function having the procedure_to_invoke parameter as set in step 506, and the input_args parameter as set in step 508. Flowchart 502 is complete after step 510 is performed, as indicated by step 512.

In response to the GUI 108's invocation of the sqleproc function in step 510, the CAE 114 in step 314 of flowchart 302 (FIG. 3) performs the sqleproc function. In doing so, the CAE 114 transmits the file(s) (via the input_args parameter) associated with the procedure 112 to the file transfer procedure 126 in the target server being processed. As noted above, the steps of flowchart 502 are performed for each target server, such that the file(s) associated with the procedure 112 are sent to all of the target servers.

Operation of the File Transfer Procedure

As noted above, in step 314 of flowchart 302 (FIG. 3) the CAE 114 performs the sqleproc function. In doing so, the CAE 114 sends a command to the DB2 RDBMS in each target server to invoke the file transfer procedure 126. As part of such operation, the data stored in the memory areas (of the client 106) addressed by the pointers in the sqlvars of the input_args parameter are transferred to the target servers. These pointers are then adjusted to address memory areas in the target servers where the transferred data are stored. Such operation of the sqleproc function is well known.

The operations performed by the file transfer procedure 126 (once invoked) are represented by flowchart 702 in FIG. 7. Flowchart 702 begins with step 704, where control immediately passes to step 706.